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4023 U.S.P.O.
021104

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.		DX0935KC
First Inventor		Birgit OPPMANN
Title	Mammalian Cytokines; Related Reagents and Methods	
Express Mail Label No.	EL 982 851 182 US	

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450																		
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <i>[Total Pages 113]</i> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) <i>[Total Pages _____]</i></p> <p>5. Oath or Declaration <i>[Total Pages 3]</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> New executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>																				
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper c. <input checked="" type="checkbox"/> Statements verifying identity of above copies </p>																				
ACCOMPANYING APPLICATION PARTS <table border="0"> <tr> <td>9. <input type="checkbox"/></td> <td>Assignment Papers (cover sheet & document(s))</td> </tr> <tr> <td>10. <input type="checkbox"/></td> <td>37 CFR 3.73(b) Statement Power of Attorney</td> </tr> <tr> <td>11. <input type="checkbox"/></td> <td>English Translation Document (<i>if applicable</i>)</td> </tr> <tr> <td>12. <input type="checkbox"/></td> <td>Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations</td> </tr> <tr> <td>13. <input type="checkbox"/></td> <td>Preliminary Amendment</td> </tr> <tr> <td>14. <input checked="" type="checkbox"/></td> <td>Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></td> </tr> <tr> <td>15. <input type="checkbox"/></td> <td>Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>)</td> </tr> <tr> <td>16. <input type="checkbox"/></td> <td>Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</td> </tr> <tr> <td>17. <input type="checkbox"/></td> <td>Other: _____</td> </tr> </table>			9. <input type="checkbox"/>	Assignment Papers (cover sheet & document(s))	10. <input type="checkbox"/>	37 CFR 3.73(b) Statement Power of Attorney	11. <input type="checkbox"/>	English Translation Document (<i>if applicable</i>)	12. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations	13. <input type="checkbox"/>	Preliminary Amendment	14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	15. <input type="checkbox"/>	Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>)	16. <input type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	17. <input type="checkbox"/>	Other: _____
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17. <input type="checkbox"/>	Other: _____																			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09/521,335

Prior application information: Examiner: R.C. HAYES Group Art Unit: 1647

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

or Correspondence address below:

DNAX Research, Inc.
901 California Ave.
Palo Alto, CA 94304
Telephone: (650) 496-6400
Facsimile: (650) 496-1200

28008

Name (Print/Type)	Sheela Mohan-Peterson, Reg. No. 41,201		
Signature		Date	11-Feb-2004

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FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

Complete if Known

		Application Number	
		Filing Date	Herewith
		First Named Inventor	Birgit OPPMANN
		Examiner Name	
		Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$ 0.00)	Attorney Docket No.	DX0935KC

METHOD OF PAYMENT (check all that apply)

Check Credit Card Other None
 Deposit Account:

Deposit Account Number: 04-1239Deposit Account Name: DNA Research, Inc.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	<u>0</u>
1002 340	2002 170	Design filing fee	<u> </u>
1003 530	2003 265	Plant filing fee	<u> </u>
1004 770	2004 385	Reissue filing fee	<u> </u>
1005 160	2005 80	Provisional filing fee	<u> </u>
SUBTOTAL (1) \$ 0			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from Below	Fee Paid
<u>30</u>	<u>-20** = 10</u>	<u>X</u>	<u>=</u>
<u>Independent Claims</u> <u>4</u>	<u>-3** = 1</u>	<u>X</u>	<u>=</u>
<u>Multiple Dependent</u>			<u>=</u>

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$ 0		

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	Surcharge – late filing fee or oath	<u> </u>
1052 50	2052 25	Surcharge – late provisional filing fee or cover sheet	<u> </u>
1053 130	1053 130	Non-English specification	<u> </u>
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	<u> </u>
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	<u> </u>
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	<u> </u>
1251 110	2251 55	Extension for reply within first month	<u> </u>
1252 420	2252 210	Extension for reply within second month	<u> </u>
1253 950	2253 475	Extension for reply within third month	<u> </u>
1254 1,480	2254 740	Extension for reply within fourth month	<u> </u>
1255 2,010	2255 1,005	Extension for reply within fifth month	<u> </u>
1401 330	2401 165	Notice of Appeal	<u> </u>
1402 330	2402 165	Filing a brief in support of an appeal	<u> </u>
1403 290	2403 145	Request for oral hearing	<u> </u>
1451 1,510	1451 1,510	Petition to institute a public use proceeding	<u> </u>
1452 110	2452 55	Petition to revive – unavoidable	<u> </u>
1453 1,330	2453 665	Petition to revive – unintentional	<u> </u>
1501 1,330	2501 665	Utility issue fee (or reissue)	<u> </u>
1502 480	2502 240	Design issue fee	<u> </u>
1503 640	2503 320	Plant issue fee	<u> </u>
1460 130	1460 130	Petitions to the Commissioner	<u> </u>
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	<u> </u>
1806 180	1806 180	Submission of Information Disclosure Stmt	<u> </u>
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	<u> </u>
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	<u> </u>
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	<u> </u>
1801 770	2801 385	Request for Continued Examination (RCE)	<u> </u>
1802 900	1802 900	Request for expedited examination of a design application	<u> </u>
Other fee (specify) _____			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 0

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Sheela Mohan-Peterson	Registration No.	41,201
Signature		Date	11- Feb - 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.